

# CHARTER

## HAROLD HAMM INTERNATIONAL PRIZE FOR BIOMEDICAL RESEARCH IN DIABETES

The Harold Hamm International Prize for Biomedical Research in Diabetes recognizes distinguished and lasting achievements in the field of diabetes and promotes innovative scientific and medical advances focused on progress toward a cure for diabetes. The Prize is awarded biennially in the amount of \$250,000 USD. Established in 2013, the Prize bears the name of Harold Hamm, who provided a generous gift to the Harold Hamm Diabetes Center at the University of Oklahoma to administer and hold in trust the endowment that ensures the award of the Prize in perpetuity.

### 1. PRIZE INFORMATION AND CRITERIA

- 1.1. **Prize Administrator.** The Prize Administrator is the Harold Hamm Diabetes Center (HHDC) at the University of Oklahoma, which holds in trust the endowment that ensures the Prize's continuation in perpetuity.
- 1.2. **Selection.** The Selection Jury as described herein shall select the Prize Laureate
- 1.3. **Eligibility.** At the discretion of the Jury, the Prize Laureate may be a single individual, or a group of individuals whose work is so closely connected that it cannot be reasonably held that their accomplishment(s) or lasting impact would have resulted independent from one another. Entire institutions, organizations, or similar are not eligible unless the institution or organization is wholly comprised of a group of individuals who meet the aforementioned requirements for a group of individuals. If awarded to more than one individual, the Prize money will be divided as deemed appropriate by the Selection Jury with the approval of the Prize Administrator. Nominees must be living at the time of nomination, as defined by the date which the Juror submits the name of the Nominee to the Prize Administrator.
- 1.4. **Criteria.** The Prize shall be awarded solely on the basis of scientific merit, recognizing scientific or medical breakthroughs in the field of diabetes, either Type 1 or Type 2 (or both), with special emphasis on progress toward a cure. The awarding of the Prize is not restricted to accomplishments having direct or obvious clinical application, although, at the discretion of the Selection Jury, such accomplishments may be given preference. A Nominee's work may reflect either (a) a major single breakthrough, so long as the Jury is confident that the accomplishment has withstood the test of time, or (b) a lifetime contribution to the field. Specific criteria and the weights shall be as follows:
  - a) Significance of Contribution (25%)- The Nominee's contribution to diabetes research could comprise anything from a single transformative discovery to a cumulative body of work over many years.
  - b) Relevance toward a cure (25%)- The intent of the Prize is to recognize progress toward a cure or cures for diabetes. This may be interpreted as relating to any aspect of diabetes or its complications.
  - c) Durability of accomplishments (20%)- The degree to which the Nominee's research has stood the test of time, and the degree of confidence that it will continue to do so.
  - d) Esteem in the future (15%)- The degree to which the Nominee will be remembered, by name, for his or her work in the long-term future.
  - e) Innovation (10%)- The degree to which the Nominee's research breaks new ground.
  - f) Mentoring (5%)- The degree to which the Nominee has mentored future generations of investigators, leaving a foundation and legacy that might contribute to an eventual cure(s) for diabetes.
- 1.5. **Exclusions.** The Prize shall be awarded on the basis of actual research contributions, and not for the establishment or development of a research institution or research infrastructure. To avoid

appearance of conflict of interest, employees of for-profit pharmaceutical or biotechnology companies will not be eligible. Jurors may not be presented as Nominees during their service on the Selection Jury. Prior Prize Laureates are not eligible for nomination, but prior Nominees who were not selected in previous award cycles may be nominated in subsequent award cycles with no limit.

- 1.6. **Official Language.** English is the official language for the Prize administration, including the nomination process, deliberations, and associated materials as described herein. Foreign language interpreters may be utilized when necessary when approved in advance by the Prize Administrator.

## 2. SELECTION JURY

- 2.1. **Purpose.** The Selection Jury shall be responsible for making nominations, deliberating over the Nominees, and selecting the Prize Laureate as outlined herein.
- 2.2. **Composition.** The Selection Jury shall be comprised of five (5) Jurors. The Prize Administrator will select and appoint the Jurors, with priority given to individuals who have served in national or international capacities in the field of diabetes research, such as editors of major diabetes journals or recent leaders of major diabetes-related organizations. Jurors may be reappointed in non-successive award cycles, with no limit to the number of reappointments. The names of the Selection Jury will be made public.
- 2.3. **Chairperson.** In addition to the five (5) Jurors, the Prize Administrator shall appoint one (1) Chairperson of the Selection Jury. The Chairperson shall be a non-voting member and coordinate and moderate the selection process. An alternate Chairperson will also be appointed in the event that the Chairperson must recuse him or herself. If the Chairperson has a conflict of interest with any of the Nominees, the Chairperson shall either independently decide to recuse him or herself, or the Selection Jury may ask the Chairperson to recuse him or herself by a majority vote. For the Selection Jury to ask the Chairperson to recuse him or herself, a motion must be made by a member of the Selection Jury, and the motion must be seconded by another member of the Selection Jury. The circumstances that would define a conflict of interest shall be determined either by the Chairperson independently, or the Selection Jury by a majority vote. For the Selection Jury to define the circumstances that would constitute a conflict of interest, a motion must be made by a member of the Selection Jury, and the motion must be seconded by another member of the Selection Jury.
- 2.4. **Honorarium.** Jurors shall receive an appropriate honorarium for their professional time, effort, and service. Jurors will be reimbursed for travel, lodging, and other reasonable expenses for any meetings or events associated with the Prize, including deliberations and the presentation ceremony.
- 2.5. **Requirements of Service.** Jurors will be required to:
  - 1) Identify two (2) Nominees for the Prize.
  - 2) Write a Nomination Statement not greater than 500 words for each Nominee as described in Section 3.3.
  - 3) Attend and participate in deliberations as described herein in person at the Harold Hamm Diabetes Center in Oklahoma City, Oklahoma, and make a brief oral presentation on the merits of his or her two (2) Nominees to the Selection Jury. No exceptions will be made to the requirement that the Jurors must attend the deliberations in person.
  - 4) Make an individual presentation and participate in a panel discussion for the biennial Hamm Symposium.
- 2.6. **Incapacitation.** If, for any reason, a Juror is unable to meet the requirements listed in Section 2.5, he or she will be removed from the Jury.

## 3. NOMINATIONS

- 3.1. **Prize Laureate Nominations.** Nominations for the Prize Laureate shall only be made by members of the Selection Jury. The Prize is not open to application, although Jurors may choose Nominees recommended by the diabetes community at large through an open call for Letters of Recommendation for Nominations as described in Section 3.2. Jurors are asked not to discuss or confer regarding potential Nominees or actual nominations with other Jurors prior to or outside of

official deliberations. A Nominee may be nominated by multiple Jurors, and Jurors will not be asked to make an alternate nomination in the case of multiple nominations from any other Juror(s). The Juror may inform Nominees that they have been nominated, but the Prize Administrator will coordinate directly with all Nominees on all aspects of the Nomination Process as described herein.

**3.2. Letters of Recommendation for Nomination.** The Prize Administrator will make an open call for Letters of Recommendation for Nominations of no more than 500 words to give the community at-large an opportunity to suggest Nominees for the Prize. Only those Letters submitted during the timeframe specified by the Prize Administrator will be considered. The Prize Administrator will compile all Letters of Recommendation and distribute them to the Selection Jury. Jurors may review Letters of Recommendation for Nominations at their discretion and are not obligated to take Letters of Recommendation for Nominations into account in making their nominations. Any person writing a Letter of Recommendation for Nominations is prohibited from making unsolicited contact with Jurors. Violating this rule will result in the Prize Administrator withdrawing the Letter of Recommendation for Nomination.

**3.3. Nomination Dossier.** The Prize Administrator will compile a Nomination Dossier for each Nominee that will be circulated to the Jurors for review prior to deliberations, consisting of:

- 1) Nomination Statement of no more than 500 words, written by the Juror making the nomination and addressing the selection criteria outlined in Section 1.3 herein
- 2) Nominee's curriculum vitae
- 3) Three (3) Letters of Support from peers outside of the Nominee's primary institution

**3.4 Collection of Nominee Dossier Materials.** The Prize Administrator will coordinate all efforts to compile Nominee Dossiers. Only the Prize Administrator may collect the Nomination Dossier documents. Jurors are prohibited from collecting these documents. The process to complete the Nominee Dossier will be as follows:

- 1) Jurors shall submit the names of two (2) Nominees to the Prize Administrator.
- 2) The Prize Administrator will then notify each Nominee and request supporting materials including the Nominee's curriculum vitae and the names of five (5) colleagues outside of the Nominee's research institution who will write a Letter of Support for the Nominee. While only three (3) letters of recommendation are required, providing five (5) potential supporters allows the Prize Administrator to move forward if a supporter has already supported another Nominee, is themselves nominated for the Prize, or must otherwise decline to provide a Letter of Support. The Prize Administrator will disclose the name of the Juror making the nomination to the Nominee unless the Juror notifies the Prize Administrator otherwise.
- 3) The Prize Administrator will directly contact the Letter of Support writers for each Nominee to request the Letter. The Letter must be submitted directly to the Prize Administrator, not the Nominees. Once all materials are collected from Nominees and Letter of Support writers, the Prize Administrator will distribute them to the Juror making the nomination.
- 4) Jurors may then use this information to write the Nominating Statement for their Nominees and submit them to the Prize Administrator. Once Nominating Statements are collected, the Prize Administrator will compile a complete Nominee Dossier consisting of all Nominees and distribute to all members of the Jury.

#### **4. DELIBERATIONS AND SELECTION**

**4.1 Quorum.** A quorum of all five (5) Jurors must be present for the entirety of deliberations.

**4.2 Confidentiality.** The deliberations shall be confidential and closed. Only Selection Jury members, foreign language interpreters as approved in advance by the Prize Administrator, and representatives of the Prize Administrator may be present during the deliberations. In addition, names of all unselected Nominees shall remain confidential.

**4.3 Deliberations.** The Prize Laureate shall be selected during deliberations that shall last for no longer than one (1) day at the Harold Hamm Diabetes Center. Deliberations will be divided into morning

and afternoon sessions. In the morning session, presentations to support each Nominee will be made by the respective nominating Juror. Order of presentations will be determined by random draw. Presentations should address the Selection Criteria as described in Section 1.4. Each presentation shall last up to 20 minutes, and shall be followed by up to 15 minutes for questions or discussion. Audio/Visual equipment will be available if a Juror chooses to utilize during their presentation. Where multiple Jurors submit the same Nominee, the same presentation time of up to 20 minutes will be offered to each nominating Juror. The nominating Jurors can confer with one another and choose the order of presentation. If the Jurors cannot reach mutual agreement, the Chairperson will determine the order by random draw. Questions and discussion will be reserved until all nominating Jurors have presented. However, the total question and discussion time will remain at a total of up to 15 minutes, with nominating Jurors addressing questions as a group.

- 4.4 Voting Process.** The slate of Nominees shall be reduced by successive rounds of elimination. Jurors shall vote by secret ballot on rounds. After each round, one or more Nominees will be eliminated, with cutpoints determined by the Chairperson. The Chairperson will announce the names of the remaining Nominees. Further discussion may follow for each round at the discretion of the Chairperson, including the time allotted for the discussion. As Nominees are eliminated, the Jury will continue voting until two (2) Nominees remain. The final choice between those two (2) Nominees will be made by majority vote. The Nominee receiving the majority vote shall be declared the Prize Laureate. Variations in the expected voting protocol may be deemed necessary at the sole discretion of the Chairperson based on scoring trends or patterns. If considered necessary, the Chairperson may call a recess to deliberate resolution of ties or inconclusive results.
- 4.5 Tabulation of Scores and Votes.** Two (2) separate teams will tabulate scores and votes to ensure accuracy. Counting teams will be unaware of Juror or Nominee identity.
- 4.6 Early Conclusion of Deliberations.** Should the Jurors reach a unanimous decision at any point during the deliberations, Jurors can motion to close the deliberation process and declare the unanimously chosen Nominee the Prize Laureate.

## 5. ANNOUNCEMENT OF LAUREATE

- 5.1 Public Announcement.** Coordination of the announcement of the Prize Laureate will be the responsibility solely of the President of the University of Oklahoma, or his or her designee. The President will select personnel involved in the announcement process, and will directly, or through his or her designee, oversee their work.
- 5.2 Official Conferral of the Hamm Prize.** The Prize will be officially conferred upon the Prize Laureate at the gala of the Harold Hamm Diabetes Center, or by similar ceremony. The Prize Laureate and his or her immediate family will be provided with transportation to Oklahoma City and lodging to attend the presentation. Other guests of the Prize Laureate are welcome to attend. However, the endowment of the Prize provides enough funding only for the Prize Laureate's immediate family.
- 5.3 Posthumous Award.** In the event that the Prize Laureate dies prior to the conferral of the Prize, the Prize for that year will still bear his or her name. The monetary award will remain in the Prize endowment and will not be distributed.
- 5.4 Lecture.** The Laureate shall present a lecture at the University of Oklahoma Health Sciences Center campus while in Oklahoma for the presentation of the Prize.

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